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INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT) WO 91/03162 (51) International Patent Classification 5: (11) International Publication Number: A16K 37/62, C07H 17/00, 15/12 (43) International Publication Date: ... 21 March 1991 (21.03.91) A61K 31/70 PCT/US90/03102 (21) International Application Number: (72) Inventors; and (75) Inventors/Applicants (for US only): ROSSI, John, J. [US/US]; 346 Cimmeron Trail, Glendora, CA 91740 (US). CHANG, Pairoj [US/US]; 949 Avenida Loma Vista, San Dimas, CA 91773 (US). KAPLAN, Bruce, E. [US/US]; 825 N. Indian Hill, Claremont, CA 91711 (US). 5 June 1990 (05.06.90) (22) International Filing Date: (30) Priority data: 31 August 1989 (31.08.89) US 401,613 (74) Agent: IRONS, Edward, S.; 919 18th Street, N.W., Suite 800, Washington, DC 20006 (US). (60) Parent Application or Grant (63) Related by Continuation (81) Designated States: AU, CA, DE*, FR (European patent), GB, 1T (European patent), JP, US. 401,613 (CIP) Filed on 31 August 1989 (31.08.89) (71) Applicant (for all designated States except US): CITY OF HOPE [US/US]; 1450 East Duarte Road, Duarte, CA **Published** With international search report. 91010-0269 (US).

(54) Title: CHIMERIC DNA-RNA CATALYTIC SEQUENCES

DRDRD-1

5' GGUGCGAGAGCGUCAGUAUUAAGCGG 3' - HIV 792-817
3' CCACGCTCTCGCA TCATAATTCGCC 5'

A C UG
A G
C G A G
A T
G C
G C

(57) Abstract

This invention provides chimeric DNA/RNA catalytic molecules useful to cleave RNA sequences. The invention specifically provides two different chimeric DNA-RNA-DNA-RNA-DNA catalytic molecules which are targeted to cleave HIV-I RNA sequences. These chimeric molecules include DNA sequences which flank a catalytic RNA center. Interaction with the HIV-I substrate RNAs is achieved by Watson-Crick base pairing of the DNA flanking sequences with HIV-I RNA. The catalytic ribonucleotide center cleaves the phosphodiester bond of the substrate HIV-! RNA at the expected location.

. G GT

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Summary of the Invention

This invention provides chimeric DNA/RNA catalytic molecules useful to cleave RNA sequences. The invention specifically provides two different chimeric DNA-RNA-DNA-RNA-DNA catalytic molecules which are targeted to cleave HIV-1 RNA sequences. These chimeric molecules include DNA sequences which flank a catalytic RNA center. Interaction with the HIV-1 substrate RNAs is achieved by Watson-Crick base pairing of the DNA flanking sequences with HIV-1 RNA. The catalytic ribonucleotide center cleaves the phosphodiester bond of the substrate HIV-1 RNA at the expected location.

General Description of the Invention

In general the catalytic molecules of the invention function as hammerhead or hairpin ribozymes. The preferred molecular construct consists of two known RNA catalytic sequences each flanked by a DNA sequence at the respective 3' and 5' termini and coupled by a DNA sequence at the corresponding 5' and 3' termini. These molecules may accordingly be represented by the formulae I and II::

I. 3' X - AAAG - Y - AGUAGUC - Z 5'

or

II. 3' X - CAAAG - Y - AGUAGUC - Z 5' in which X, Y and Z are DNA sequences and AAAG, CAAAG and AGUAGUC are catalytic RNA sequences.

The flanking X and Z components may be any DNA sequences that allow base pairing with the substrate RNA at appropriate positions adjacent to the substrate cleavage site. These flanking sequences may be phosphodiester, phosphorothioate, methyl phosphonate, methyl phosphonate, methyl phosphorate or similar moieties.

Y may be any DNA sequence that base pairs <u>inter</u> se in the manner required for catalytic cleavage of

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the substrate by the RNA sequences preferably as shown in base paired form in Formula III:

III. 5' C-G 3'
A-T
G-C
G-C
A G

The catalytic molecules of this invention can be synthesized in known manner by commercially available DNA synthesizers such as those produced by Applied Biosystems or Milligen. See, e.g., Perreault, et al, supra.

The X and Z sequences may be substituted at the respective 3' and 5' ends with ligands to facilitate cell entry, targeting within the cell and ultimate stability of the catalysts. Such ligands include by way of example but not of limitation: other nuclotides, proteins, carbohydrates, lipids, steroid hormones and cholesterol.

The catalytic molecules of the invention are administered by known and available delivery agents or systems, including, but not limited to, liposomes, defective viral particles, viral capids, and standard DNA/RNA transfective procedures.

Description of the Figures

Figure 1 illustrates one catalytic molecule of the invention base paired to an HIV-1 sequence. The RNA portion of the molecule is encircled.

Figure 2 illustrates a second catalytic molecule of the invention base paired to another HIV-1 sequence. The RNA portion of the molecule is encircled.

Figure 3A depicts a ribonuclease A digestion of the catalytic molecule of Figure 1 as compared with an equivalent all DNA molecule. The conditions were 10 units of commercial (Sigma) pancreatic ribonuclease in 2XSSC buffer added to the oligonucleotides which were in 10 microliters of 50 mM Tric-HCl buffer (pH 8:0). The RNAse was incubated with the sample for 10 minutes before the ³²-p end labelled DRDRD or DNA molecules were electrophoresed in a 15% polyacrylamide gel containing 8M urea. The gel was autoradiographed for 10 minutes to get the exposure depicted.

Figure 3B depicts a cleavage reaction involving the catalytic molecule of Figure 1 under conditions described in Chang, et al., <u>Clinical Biotechnology</u>, <u>2</u>:23-31 (1990).

EXAMPLE I

The catalytic molecule of Figure 1 was synthesized in known manner utilizing an automated oligonucleotide synthesizer manufactured by Applied Biosystems, Inc.

The result of ribonuclease A digestion of the catalytic molecule is shown by Figure 3A.

The catalytic molecule produced, as described, was used to cleave each of a 610 nuleotide long (S-610) and a 170 nucleotide long HIV-1 gag transcript. In brief, the buffer was 50 mM Tris-HC1, pH 7.5, lmM EDTA, 10mM MgCl₂ at approximately 1 pmole of target, 3 pmole of ribozyme or DNA. The reactions were carried out at 37°C. for 12 hours. The substrate was either a 610 nucleotide long HIV-1 gag containing transcript (S-610) or a 172 nucleotide long HIV-1 gag containing transcript (S-610). The 5° cleavage product is indicated for both.

In Figure 3B the 5' cleavage product is shown for both transcripts. The 3' cleavage product for the 610 target is not visible due to poor reproduction of

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the autoradiograph, but is indicated in its position by a 3' P notation. As a negative control, an all DNA oligonucleotide (D) of the same sequence as the DRDRD molecule was incubated with the same substrates under the same conditions with the result that no cleavage was obtained.

Specific cleavage of an HIV-1 5' LTR splice site with a similar catalytic molecule has also been obtained.

CLAIMS

 A catalytic molecule capable of cleaving an HIV-1 RNA sequence at a known ribozyme cleavage site said molecule having the formula

3' X - AAAG - Y - AGUAAGUC - Z 5'

or

3' X - CAAAG - Y - AGUAAGUC - Z 5' in which X and Z are DNA sequences that base pair with an RNA substrate at positions juxtaposed to said known cleavage site,

AAAG, CAAAG and AGUAGUC are RNA sequences,

Y is a DNA sequence that base pairs <u>inter</u> <u>se</u> in a manner required to permit said RNA sequences to cleave said substrate at said cleavage site.

- 2. The catalytic molecule shown by Figure 1.
- 3. The catalytic molecule shown by Figure 2.
- 4. A catalytic molecule, as defined by Claim 1, in which said RNA sequence is an HIV-1 sequence.
- 5. A catalytic molecule, as defined by Claim 4, in which said HIV-1 sequence is the HIV-1 sequence shown by Figure 1.
- 6. A catalytic molecule, as defined by Claim 4, in which the HIV-1 sequence is the HIV-1 sequence shown by Figure 2.
- 7. A catalytic molecule capable of cleaving an RNA sequence, said molecule having catalytic RNA moieties linked to first and second DNA moieties which base pair with the substrate RNA sequences flanking the cleavage site and interconnected by a third DNA sequence which base pairs inter se to facilitate said cleavage.

FIG. 1 DRDRD-1

5' GGUGCGAGAGCGUCAGUAUUAAGCGG 3' - HIV 792-817
CCACGCTCTCGCA) TCATAATTCGCC 5'

A C UG
A
G U
=RNA

C G C
G C
G C
G C
G C
G C
G T

FIG. 2 DRDRD #2

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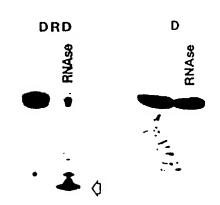
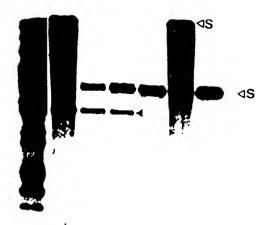


FIG. 3B



INTERNATIONAL SEARCH REPORT

International Application No PCT/US90/03102

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I. CLASSI	FICATION OF SUBJECT MATTER (II several classification)	tion symbols apply, indicate all) 3			
According to Internstignal Pategi Chasticating (IPC) or to popyly travenat Classification and IPC					
U.S.Cl.: 424/94.6; 536/23, 29; 514/44					
II. FIELDS SEARCHED					
	Minimum Documentali	ion Searched 4			
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U.S.Cl. 424/94.6; 536/23, 29; 514/44 Occumentation Searched other than Minimum Documentation to the Estent that such Documents are included in the Fields Searched 6					
III, DOCU	MENTS CONSIDERED TO BE RELEVANT !				
Calegory •	Citation of Document, 14 with Indication, where approp	oriale, of the relevant passages **	Relevant to Claim No. 14		
A,P	Chemical Abstract, Volume 112, No. 12 February 1990 (Columbus, Chio W. Gerlach, et al, "Synthetic Ril Inactivation of Prokaryotic or El Transcripts", See pages 336-337, abstract No. 51284j, Eur. Pat. Ap 21 June 1989.	, U.S.A.) cozymes for <u>in</u> <u>ViVo</u> ukaryotic RNA column 2, See the	1 - 7		
A,P	Chemical Abstract, Volume 112, N 07 May 1990 (Columbus, Ohio, U.S "Ribozymes as Potential Anti-HIV Agents", See page 420, column 2, No. 17548q, Science, 1990, 247 (.A.) N. Sarver, et al, -1 Therapeutic See the abstract	1 - 7		
A,P	Chemical Abstract, Volume 112, N 12 February 1990 (Columbus, Ohio M. Cotten, et al, "Ribozyme Medi RNA <u>in ViVo</u> ", See page 501, colu abstract No. 52942j, EMBO J, 199	Ohio, U.S.A.), Mediated Destruction of column 1, See the			
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"A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filling date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filling date but later than the priority date claimed		"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle of theory underlying the invention. "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step. "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "4" document member of the same patent lamily			
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	International Application No.	PCT/US90/03102
	ENTS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHE	(T)
Calegory .	Citation of Document, in with Indication, where appropriate, of the relevant passages 12	Relevant to Claim No !
A,P	Nature, volume 344, issued 05 April 1990, J. Peneault, et al., Mixed Decoxyribo - and Ribooligonucleotides with Catalytic activity see pages 565-567.	1-7
A,P	Proceeding of the National Academy of Sciences, Volume 86, no. 23, issued December 1989 (U.S.A.) F.H. Cameron, et al., 'Specific Gene Suppression by Engineered Ribozymes in Monkey Cells', see pages 9139 - 9143.	
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FURTHE	R INFORMATION CONTINUED FROM THE SECOND SHEET			
Α	Chemical Abstracts, Volume 110, No. 21, issued 22 May 1989, (Columbus, Ohio, U.S.A.) T. R. Cech et al., "RNA Ribozyme Polymerases, Dephosphorylases, Restriction Endoribonucleases and Methods for Their Manufacture", See page 226, column 2, See the abstract No. 187321K, PCT Int. Appl. W08804,300 16 June 1988.	1 - 7		
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v.	SERVATIONS WHERE CERTAIN CLAIMS WERE FOUND UNSEARCHABLE!			
	national search report has not been established in respect of certain claims under Article 17(2) (a) fo in numbers — , because they relate to subject matter I not required to be searched by this Auti			
	m numbers, because they relate to parts of the international application that do not comply to to such an extent that no meaningful international search can be carried out *, specifically:	with the prescribed require-		
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Claim numbers because they are dependent claims not drafted in accordance with the second and third sentences of PCT Rule 6.4(a).				
VI. OBSERVATIONS WHERE UNITY OF INVENTION IS LACKING?				
This Inter	national Searching Authority found multiple inventions in this international application as follows:			
	all required additional search fees were timely paid by the applicant, this international search report he international application.	covers at searchable claims		
	only some of the required additional search fees were timel, paid by the applicant, this internstions se claims of the international application for which fees were gaid, specifically claims:	il search report covers only		
	required additional search lees were timely paid by the applicant, Consequently, this international s invention first mentioned in the claims; it is covered by claim numbers:	earch report is restricted to		
inv.	all searchableclaims could be searched without effort justifying an additional fee, the International te payment of any additional fee. In Protest	Searching Authority did not		
	additional search fees were accompanied by applicant's protest.	1		
No	protest accompanied the payment of additional search fees.	į		

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